

#3 S, HOOVER 3/26/01

PATENT
Docket No. 325772020700

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on
December 14, 2000.

R. Lynn Bayden
Marieta Luke



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Hiroshi HATANO

Serial No.: to be assigned

Filing Date: December 14, 2000

For: OPTICAL HEAD, RECORDING AND
REPRODUCING APPARATUS AND
SOLID IMMERSION LENS

Examiner: to be assigned

Group Art Unit: to be assigned

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97**

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicant submits for consideration the documents listed on the attached Form PTO-1449. A copy of these documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted with the filing of a new U.S. patent application. No fee is required.

Applicant would appreciate the Examiner initialing and returning the copy of the Form PTO-1449 indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (I) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from these documents and the Patent and Trademark Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of these documents to **Deposit Account No. 03-1952**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: December 14, 2000

By:



Barry E. Bretschneider
Registration No. 28,055

Morrison & Foerster LLP
2000 Pennsylvania Avenue, N.W.
Washington, D.C. 20006-1888
Telephone: (202) 887-1545
Facsimile: (202) 887-0763